

DEC 23 2004

PTO/SB/01A (09-04)  
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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)**Title of  
Invention**IMPLANTABLE LEAD SYSTEM WITH SEED ELECTRODES**

As the below named inventor(s), I/we declare that:

This declaration is directed to:

☐

The attached application, or

☒Application No. 10/821,789, filed on April 9, 2004☐

as amended on \_\_\_\_\_ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

**FULL NAME OF INVENTOR(S)**Inventor one: C. Lance BolingSignature: C. Lance BolingCitizen of: United States

Inventor two: \_\_\_\_\_

Signature: \_\_\_\_\_

Citizen of: \_\_\_\_\_

Inventor three: \_\_\_\_\_

Signature: \_\_\_\_\_

Citizen of: \_\_\_\_\_

Inventor four: \_\_\_\_\_

Signature: \_\_\_\_\_

Citizen of: \_\_\_\_\_

☐

Additional inventors or a legal representative are being named on \_\_\_\_\_ - none - additional form(s) attached hereto.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DEC 28 2004

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PTO/SB/98 (09-04)  
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
 Approved for use through 07/31/2008 OMB 0851-0031

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: NeuroPace, Inc.Application No./Patent No.: 10/821,789Filed/Issue Date: Filed April 9, 2004Entitled: IMPLANTABLE LEAD SYSTEM WITH SEED ELECTRODESNEUROPACE INC.

(Name of Assignee)

a corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
 The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Clarke A. Wixon  
 Signature

December 27, 2004

Date

Clarke A. Wixon

Printed or Typed Name

650-237-2700

Telephone Number

Attorney (Reg. No. 39,878), Manager of Intellectual Property  
 Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

**ASSIGNMENT**


For good and valuable consideration, the receipt of which is acknowledged, I, C. Lance Boling, of 4615 Royal Grove Court, San Jose, California 95136 (the "Assignor"), do hereby sell, assign, and transfer to NeuroPace, Inc., a corporation duly organized under and pursuant to the laws of the state of Delaware and having a principal place of business at 1375 Shorebird Way, Mountain View, California 94043 ("Assignee"), the entire right, title, and interest, together with all rights of priority, in and to my Inventions described and claimed in my application for Letters Patent of the United States entitled **IMPLANTABLE LEAD SYSTEM WITH SEED ELECTRODES**, Application No. 10/821,789 filed on April 9, 2004, and as described and claimed in any and all applications for Letters Patent in the United States and all foreign countries, together with all Letters Patent issuing on any of the aforesaid applications for Letters Patent, all to be held and enjoyed by Assignee, its successors, assigns, or other legal representatives, to the full ends of the terms of all said Letters Patent which may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignors had this Assignment not been made.

I hereby authorize Assignee to make applications for and to receive Letters Patent for said Inventions in any of said countries in its own name, or in mine, at its election.

I hereby covenant and agree that at the time of execution and delivery of this Assignment, the Assignor is the sole and lawful owners of the entire right, title, and interest in and to said Inventions and applications for Letters Patent, and that the same are unencumbered and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

I hereby further covenant and agree that I will execute or procure any further necessary assurance of the titles to said Inventions and any Letters Patent which may issue therefor and that I will at any time, upon the request and at the expense of Assignee, deliver any testimony in any legal proceeding and execute all papers that may be necessary or desirable to perfect the title to, procure, maintain, enforce, or defend said Inventions or any Letters Patent thereon which may be granted to Assignee and its successors, assigns, or other legal representatives, and I will at any time, upon the request and at the expense of Assignee, execute any continuations, continuations-in-part, divisional, reissue, extension, or any other applications for Letters Patent for said Inventions or any part or parts thereof, all of which applications and any Letters Patent issuing thereon are hereby assigned to Assignee, and will make all rightful oaths, execute all papers, and do all rightful acts requisite for procuring, maintaining, enforcing, and defending the same without further compensation, but at the expense of Assignee, its successors, assigns, or other legal representatives.

And I hereby authorize and request the Commissioner for Patents to issue any and all Letters Patent of the United States for said Inventions resulting from any of the aforesaid applications to NeuroPace, Inc., as sole Assignee, for the sole use and enjoyment of said Assignee, its successors, assigns, or legal representatives.

  
\_\_\_\_\_  
C. Lance Boling

12/14/04  
\_\_\_\_\_  
Date